

Practitioner's Docket No. 003252-53292

1AP20 Reg'd PCT/PTO 19 APR 2006  
10/576388 PATENT

IN THE UNITED STATES RECEIVING OFFICE

PCT/US2004/003020	03.02.2004 03 February 2004	03.02.2003 03 February 2003
International Application Number	International Filing Date	International Earliest Priority Date

TITLE OF INVENTION: METHODS AND COMPOSITIONS FOR INHIBITING  
CHOLESTEROL UPTAKE

APPLICANT(S) FOR EO/DO: Thomas Jefferson University et al.  
INVENTOR(S)/APPLICANT(S) FOR US: Steven A. FARBER et al.

<p align="center"><b>CERTIFICATE OF MAILING</b></p> <p>I hereby certify that this correspondence, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as Express Mail Label No. EV653005068US in an envelope addressed to MAIL STOP PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.</p> <p>Date: April 19, 2006</p> <p align="right"><i>Nicole M. Aguirre</i> Nicole M. Aguirre</p>
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MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §1.56, 1.97, and 1.98, Applicants wish to bring to the Examiner's attention the following references, References A1 and C1-C2 cited in the attached Forms PTO/SB/08a and b.

REMARKS

filed: In accordance with the provisions of 37 C.F.R. §1.97, this statement is being

  X   (1) within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or

\_\_\_\_\_ (2) within three months of the mailing date of the PCT International Search Report; or

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## TITLE OF INVENTION:

METHODS AND COMPOSITIONS FOR INHIBITING CHOLESTEROL UPTAKE

APPLICANT(S) FOR EO/DO: Thomas Jefferson University et al.  
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- (3) after the period defined in (1) but before the mailing date of a **Final Rejection or Notice of Allowance**, and the requisite Certification or fee under Rule 1.17(p), namely \$180.00, is included herein; or
- (4) after the mailing date of a **Final Rejection or Notice of Allowance** but before the payment of the **Issue Fee**, and the requisite Certification, petition, and petition fee are included herein.

X A copy of the International Search Report is enclosed herewith.

It is respectfully requested that each of the documents shown on the attached form(s) PTO/SB/08a and b be made of record in this application.

Copies of these documents (CHECK ONE):


- X are enclosed herewith; or
- have been cited in the parent application, and are thus not being resubmitted herein.

## FEE AUTHORIZATION

The Commissioner is authorized to charge fee deficiencies or credit overpayments associated with this submission to the NIXON PEABODY LLP Deposit Account No. 50-0850.

Date: April 19, 2006

Respectfully submitted,

  
 David S. Resnick (Reg. No. 34,235)  
 NIXON PEABODY LLP  
 100 Summer Street  
 Boston, MA 02110  
 (617) 345-6057

*(use as many sheets as necessary)*

Sheet

1

of

2

**Complete if Known**

Application Number

To be assigned **1576388**  
February **3** 2004 **576388**

**I.A. Filing Date**

February 3, 2004

**First Named Inventor**

**Steven A. Farber**

**Art Unit**

To be assigned

**Examiner Name** \_\_\_\_\_

To be assigned

Attorney Docket Number

003252-53292

## U.S. PATENT DOCUMENTS

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>			
				Application Number		To be assigned 76388	
				I.A. Filing Date		February 3, 2004	
				First Named Inventor		Steven A. Farber	
				Art Unit		To be assigned	
				Examiner Name		To be assigned	
Sheet	2	of	2	Attorney Docket Number		003252-53292	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	SMART, Eric J. et al.; PNAS; 101(10):3450-3455 (March 9, 2004)	
	C2	UITTENBOGAARD, Annette et al.; The Journal of Biological Chemistry; 277(7):4925-4931 (February 15, 2002)	

Examiner Signature		Date Considered	
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.